

Recommended Procedures for Flood Velocity Data Development

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Acronyms and Abbreviations

1D	one-dimensional
2D	two-dimensional
3D	three-dimensional
ADH	ADaptive Hydraulics
AOMI	Areas of Mitigation Interest
ASCE	American Society of Civil Engineers
BFE	Base Flood Elevation
CSLF	Changes Since Last FIRM
FEMA	Federal Emergency Management Agency
FIRM	Flood Insurance Rate Map
FIS	Flood Insurance Study
fps	feet per second
FRD	Flood Risk Database
FRM	Flood Risk Map
FRR	Flood Risk Report
GIS	geographic information system
H&H	hydrologic and hydraulic
HEC-RAS	Hydrologic Engineering Center's River Analysis System
NFIP	National Flood Insurance Program
PTS	Production Technical Services
Risk MAP	Risk Mapping, Assessment, and Planning
SFHA	Special Flood Hazard Area
TIN	triangulated irregular network
USACE	U.S. Army Corps of Engineers

SECTION ONE INTRODUCTION

This report highlights recommended procedures for developing flood velocity data within the context of the Federal Emergency Management Agency (FEMA) efforts related to the Risk Mapping, Assessment, and Planning (Risk MAP) Program and FEMA's Building Science Branch. Report sections provide detailed descriptions of velocity grid development and considerations for one-dimensional (1D), two-dimensional (2D), and three-dimensional (3D) models.

1.1 AUDIENCE AND PURPOSE

The audience for this report includes the FEMA Headquarters and Regional Building Science staff who may provide guidance to FEMA Risk MAP staff and local officials on building science issues related to the development and implementation of Risk MAP regulatory and non-regulatory products.

The Risk MAP program is dynamic, and the technical specifications and requirements are constantly evolving. As FEMA and the Production Technical Services (PTS) contractors gain program insight from lessons learned, draft requirements approved for interim use are revised and finalized. For this reason, specific standards and technical requirements for developing flood velocity data are not included in this document. Instead, this document summarizes procedures and best practices and cites flood velocity data documents applicable for Risk MAP projects. Readers should review the latest versions of referenced documents on either the FEMA Web site or Risk MAP Program Portal to verify that they are using the current requirements.

1.2 VELOCITY GRID AND MODEL BACKGROUND

Flood velocity data, specifically velocity grid data, developed during a Risk MAP project, are an important source of information for building science professionals. Velocity grids, and their associated flood depth grids, are part of the new Risk MAP non-regulatory products and datasets. All new Risk MAP studies will develop three non-regulatory products: Flood Risk Database, Flood Risk Report, and Flood Risk Map. These three products are created from the four non-regulatory datasets: Changes Since Last FIRM (Flood Insurance Rate Map), Flood Depth and Analysis Grids, Flood Risk Assessment, and Areas of Mitigation Interest.

Velocity grids can be developed from both 1D and 2D hydraulic models. For a 1D model, such as the U.S. Army Corps of Engineers Hydrologic Engineering Center's River Analysis System (HEC-RAS), there are several ways that velocities can be calculated and exported from the model. These range from a single mean velocity value for only lettered cross-sections to quasi-2D methods that use hundreds of velocity values for every modeled or interpolated cross-section. Velocity grid developers may need to use a combination of automated and manual approaches to create velocity grids that are consistent with other regulatory and non-regulatory datasets. All procedures used should be well documented and explain the modeling assumptions and known use limitations.

Two-dimensional models use more complex methods than 1D models for velocity and can show both magnitude and direction. Often 2D models are used for unsteady flow situations, such as tidal areas, where velocity magnitude and direction can vary greatly. Velocity grid developers need to decide whether one or multiple velocity grids are required to show velocity conditions. Geographic information system (GIS) methods used to develop velocity grids also need to address non-raster calculation elements. It is important that users document the methods used to develop the 2D hydraulic analysis and velocity grid. This is especially true for indirect 2D methods, where velocity grids are developed outside of a specific hydraulic model based on another grid, such as the depth grid. Documentation of methods and limitations is also important for hybrid 2D models, in which combinations of 1D and 2D models are used.

1.3 USING FLOOD VELOCITY DATA TO IMPROVE FOUNDATION DESIGN

Flood velocity data derived using the methods referenced in this report can be used to improve building performance during flood events. Proper foundation design can enhance overall building stability and performance under riverine and coastal flood conditions. Buildings in flood hazard areas may be subjected to a variety of flood-induced forces. During inundation by standing or low-velocity floodwaters, a building must resist primarily hydrostatic pressures from saturated soils and floodwaters. This situation is typical of broad, flat floodplains and floodways along low-gradient rivers and streams. During inundation by high-velocity riverine and coastal floodwaters, a building must also be able to resist hydrodynamic forces and flood-borne debris impact loads. High-velocity floodwaters are found in floodways along steeper-gradient rivers, sheet-flow down slopes, and coastal areas with storm surge and waves.

The site-specific velocity data from the Risk MAP non-regulatory products described in this report can be used to meet local building codes or enhanced foundation design requirements, while providing more efficient designs that increase resilience for new or retrofit construction. Some examples of residential failures and best practices and for siting, design, and construction in riverine and coastal Special Flood Hazard Areas are included in the document.

SECTION TWO DEVELOPING FLOOD DEPTH AND VELOCITY GRID DATA WITHIN RISK MAP

This section presents a discussion of the source of information used for developing flood risk data, including a description of risk map guidance and standards for the flood velocity grid (Section 2.1), a summary of flood depth and analysis grid datasets (Section 2.2), and a summary of flood risk products (Section 2.3).

The Risk Mapping, Assessment, and Planning (Risk MAP) program is dynamic and continues to evolve based on lessons learned by the Federal Emergency Management Agency (FEMA) and the Production Technical Services (PTS) contractors during the development and implementation of new and revised flood studies and flood risk products. The FEMA Risk MAP development teams revise or finalize data requirements, technical standards, and draft manuals or other guidance documents on an as-needed basis. For this reason, specific standards and technical requirements for the development of flood velocity data are not included in this document. Instead, this document summarizes procedures and best practices and cites flood velocity data documents applicable for Risk MAP projects. Readers should review the latest versions of referenced documents on either the FEMA Web site or Risk MAP Program Portal to verify that they are using the current requirements.

2.1 SOURCES FOR DEVELOPING RISK MAP GUIDANCE AND STANDARDS FOR VELOCITY GRIDS

Draft technical specifications approved as interim guidance by FEMA are located on the Risk MAP Program Portal, while finalized documents are located on the FEMA Risk MAP Web site. This document includes references to Risk MAP documents related to flood velocity data specifications and standards. Readers should always check the Web site and Portal for the latest versions of referenced publications to verify that they are using the current technical requirements. Table 2-1 lists the Web locations for the guidance documents.

Risk MAP guidance and specification documents may be in the form of:

- Guidance documents
- Operating guidance
- Procedure memorandums
- Appendices to the *Guidelines and Specifications for Flood Hazard Mapping Partners*
- Training or outreach materials

FEMA also offers Risk MAP University, an online training curriculum that provides details on all aspects of the program, from required meetings to the technical specifications of the regulatory and non-regulatory datasets and products.

Developing Flood Depth and Velocity Grid Data within Risk Map

Table 2-1: Web Locations for Risk MAP Program and Guidance Documents

Category	Web address
Risk MAP (general)	http://www.fema.gov/rm-main
<i>Guidelines and Specifications for Flood Hazard Mapping Partners</i> – Discussion	http://www.fema.gov/ctp-main/guidelines-and-specifications-flood-hazard-mapping-partners
<i>Guidelines and Specifications for Flood Hazard Mapping Partners</i> – Publication for download. Includes TOC, Volumes 1–3, and Appendices A–M.	http://www.fema.gov/library/viewRecord.do?id=2206
Risk MAP Operating Guidance Documents	http://www.fema.gov/guidance-cooperating-technical-partners-program/operating-guidance-documents
Risk MAP Procedure Memorandums	http://www.fema.gov/ctp-main/guidelines-specifications-flood-hazard-mapping-partners
Note: Risk MAP Portal users must contact the portal administrator (at the adjacent Web address) to receive approval and login credentials (user name and password) prior to initial use.	mailto: spadmin@riskmapcds.com
Risk MAP Portal	http://pm.riskmapcds.com/Pages/Default.aspx
Appendix N: Flood Risk Data Development	Once logged into the Risk MAP Portal, use Advanced Search and enter Appendix N in the “All of these words” data field, and property restrictions based on the Last Date Modified, Later than, 01/01/2012 (three data fields)
Appendix O: Format And Standards for Non-Regulatory Flood Risk Products	Use steps above and enter Appendix O in the “All of these words” data field.
Risk MAP University – Online training that provides details about Risk MAP meeting phases and technical requirements	http://pm.riskmapcds.com/University/COD/default.aspx (users require Risk MAP Portal login credentials)

2.2 SUMMARY OF FLOOD DEPTH AND ANALYSIS GRIDS DATASETS

Flood depth and velocity grids are part of the Flood Depth and Analysis Grids dataset within the non-regulatory products for the FEMA Risk MAP) Program. The FEMA minimum standards for development of these grids are presented in the draft *Guidelines and Specifications for Flood Hazard Mapping Partners*, draft Appendix N: Flood Risk Data Development (2012b). The Flood Risk Database (FRD) minimum standards for saving these data are presented in the FEMA draft *Guidelines and Specifications for Flood Hazard Mapping Partners*, draft Appendix O: Format and Standards for Non-Regulatory Flood Risk Products (2012c). Although these documents provide the minimum requirements for these grids, the additional procedures recommended in this report will ensure the grids are more useful for building science professionals.

Developing Flood Depth and Velocity Grid Data within Risk Map

The multiple depth and analysis grids datasets work well with the Changes Since Last FIRM¹ (CSLF) dataset to show a variety of flood risk information for all areas within the mapped floodplain. This data ranges from the depth of flooding to the velocity of floodwaters, to the probability of being flooded in any given year in a 30-year period (duration of a typical home mortgage). The multiple datasets within a group are summarized in Table 2-2. For example, the 1-percent-annual-chance and 30-year probability grids can be helpful in communicating that flood risk is not uniform and varies by location within the floodplain. This dataset can provide a graphical representation of risk variance within the mapped floodplain rather than merely communicating that a structure is located in the 1-percent-annual-chance floodplain.

Table 2-2: Summary of Flood Depth and Analysis Grid Datasets

Grid	Description
Depth	Represents flood depth values across the entire mapped floodplain to enable an understanding of true flood risk in the identified floodplain. This dataset is derived by subtracting the terrain elevation from the water surface elevation for respective recurrence interval events.
Water Surface Elevation	Represents modeled water surface elevations for different flood frequency (or return interval) events within the identified floodplain.
Water Surface Elevation Change	Shows change in water elevation between the existing and revised mapped floodplain. This dataset is considered the vertical companion to the horizontal changes provided by the CSLF dataset.
Velocity (Riverine)	Describes the average flood velocity for a specific location in the mapped floodplain for a given percent-annual-chance flood frequency profile.
Velocity (Coastal)	Similar to the riverine velocity grid and is located in mapped floodplain areas affected by coastal flood hazards and storm surge.
Percent-Annual-Chance Probability	Represents the percent annual chance of flooding for all areas within the identified floodplain.
Percent 30-Year Chance Probability	Shows the likelihood of flooding at least one time during a 30-year period to relate the chance of being flooded to a typical mortgage lifespan for all areas within the identified floodplain.
1-Percent Plus Water Surface Elevation	Represents a flood elevation higher than the base flood elevation (BFE) by incorporating known uncertainties in water surface elevation of the 1-percent-annual-chance floodplain.
1-Percent Plus Depth	Similar to other depth grids, the terrain elevation is subtracted from the 1-Percent Plus water surface elevations to derive the 1-Percent Plus Depth Grid.

By identifying areas of highest flood risk according to flood frequency and depths, Flood Depth and Analysis Grids may be used to help enlist support of elected officials for mitigation projects that reduce flood risk. These datasets can be used by building officials to explain and show

¹ Flood Insurance Rate Map

Developing Flood Depth and Velocity Grid Data within Risk Map

structure owners, developers, contractors, and others how the structure elevation requirements for specific sites may change over time. These data can also be used to show the flood risk elements for each grid cell, such as the change in water surface elevations between the old and new flood study and areas of high floodwater velocity. Probability grids can show the chance of being flooded in any given year or 30-year period and communicate increased flood risks associated with the statistical confidence limits of the hydraulic models.

For example, community decisions derived from the Flood Depth and Analysis Grids to maintain some areas as open space enhance a community's chance to recover after a flood because no important facilities (e.g., schools, water treatment plants) will have been built there.

2.2.1 Technical Requirements for Developing a Grid

FEMA provides the minimum FRD standards in the draft FEMA *Guidelines and Specifications for Flood Hazard Mapping Partners*, draft Appendix O: Format and Standards for Non-Regulatory Flood Risk Products (2012c). As part of enhanced data development, a community may decide to add supplemental tables and spatial databases to the FRD. For building science, these supplemental data could include anything from more detailed hydrologic and hydraulic (H&H) analysis data to building-specific construction practices databases. By being non-regulatory and allowing for local enhancements, the FRD has flexibility to archive more than the standard regulatory datasets and products.

Some of the requirements, from Table 1: Table of Standards in the draft Appendix N: Flood Risk Data Development of the draft FEMA *Guidelines and Specifications for Flood Hazard Mapping Partners* (2012b), associated with development of the depth and velocity grids include:

For Riverine Areas:

- The water surface elevations used to create water surface and depth grids for new or updated flood hazard studies must reflect the proposed regulatory elevations.
- Riverine depth grids for new studies will be created, at a minimum, for the 10-percent-, 4-percent-, 2-percent-, 1-percent-, and the 0.2-percent-annual-chance flood events.
- Depth grids for Zone AO shallow flooding zones shall reflect the reported depth as shown on the FIRM or more detailed data from the model, if the rounded results would equal the whole-foot rounded depths on the FIRM.
- Depth grids for Zone AH zones shall be based on the static whole-foot regulatory elevation shown on the FIRM, or more detailed 0.10-foot elevations derived from models provided that the results round to the whole-foot elevations shown on the FIRM.

For Coastal Areas:

- Coastal depth grids for new studies will be created, at a minimum, for the 1-percent-annual-chance flood event.

Developing Flood Depth and Velocity Grid Data within Risk Map

- Coastal depth grid development should primarily focus on areas over land based on the difference between the base flood elevation (BFE) (which may include wave heights for certain flood zones) and ground surface.
- Coastal depth grids for open water areas will use the modeling bathymetric elevation source data (when available). The spatial extent of depth grids should match the full extent of the flood hazard zone shown on the FIRM. However, the decision may be made to clip the depth grids at the shoreline or high tide line based on project-specific considerations.
- Coastal velocity grids must reflect the appropriate upper-bound velocities from two-dimensional (2D) storm surge modeling, Equation #8.2 from FEMA P-55, *Coastal Construction Manual: Principles and Practices of Planning, Siting, Designing, Constructing, and Maintaining Residential Buildings in Coastal Areas* (2011).

Note of Caution: If streams within a watershed were modeled at different times or using different methods, newly created grids will often have flood elevation tie-in issues. However, the grid creation process is not intended to resolve all study or modeling issues, and grids do not have to be clipped or altered to match floodplain or project area boundaries. Nor do they have to resolve negative depths that may occur as a result of using high-precision elevation data.

All velocity grids must be consistent with other Risk MAP products, including the regulatory flood boundaries and depth grids. In most cases, the velocity grid should be populated only in locations that also include a depth grid. However, if manual raster development is needed to match the depth grid with the base flood boundary, then the velocity grid may also need to be adjusted based on engineering and modeling judgment.

2.2.2 Potential Uses for Flood Depth and Analysis Grids Datasets

These datasets can help community officials show specific risks to the built and planned environments while improving the design and permitting processes through the availability of additional detailed data and tools. Flood Depth and Analysis Grids Datasets:

- Help decision makers evaluate potential effects of risk reduction efforts
- Highlight areas of greatest flood risk vulnerability across the floodplain
- Clearly depict high flood risk areas for future planning
- Increase understanding and awareness of hydrodynamic and hydrostatic flood loads on foundations and basement walls
- Illustrate a variety of flood risk elements for local stakeholders
- Demonstrate higher flood vulnerability in specific areas
- Provide data sources for cost-effectiveness analyses of proposed mitigation projects
- Assist with advanced recovery planning and disaster preparedness

2.3 SUMMARY OF FLOOD RISK PRODUCTS

The Flood Risk Datasets are created as companion elements to the H&H study (or restudy) of flooding sources presented in the Flood Insurance Study (FIS) report(s) for a given watershed, coastal area, or site-specific project area. The four non-regulatory datasets are used to develop the three non-regulatory products: FRD, Flood Risk Report (FRR), and Flood Risk Map (FRM). The non-regulatory products and datasets are not subject to the due process or related protocols associated with the FIS and FIRM.

2.3.1 Flood Risk Database

The FRD contains the spatial databases and associated tables for each of the four datasets for a given project area and enables users to perform a variety of risk analyses based on the flood risk assessment data collected, created, and analyzed during the FIS. It also contains data used to populate tables in the FRR and spatial layers used for the FRM. This main archive function of the FRD can be used to store the specific building science-related topics brought forth in the datasets. For example, the Areas of Mitigation Interest (AOMI) feature “Other miscellaneous flood risk or hazard mitigation related areas” can be used to store points and descriptions of flood risk “hot spots” or other associated concerns that come up in Risk MAP meetings.

FEMA provides the minimum FRD standards in draft Appendix O of FEMA’s *Guidelines and Specifications for Flood Hazard Mapping Partners*. As part of enhanced data development, a community may decide to add supplemental tables and spatial databases to the FRD. These supplemental data could include additional H&H analyses or building-specific construction practices databases. Because it is non-regulatory and allows for local enhancements, the FRD can provide the foundation for archiving future flood data.

2.3.2 Flood Risk Report

The FRR provides communities with a summary of the flood risk data that they can use for outreach and improved risk and vulnerability assessments in mitigation plans. The FRR is the non-regulatory Risk MAP equivalent of the regulatory FIS report.

2.3.3 Flood Risk Map

The FRM product shows flood risk and related information for the project area, as well as a subset of the spatial data in the FRD. Because of scale issues, the printed map often is limited as an analysis product, but it does show the biggest issues in a Risk MAP project. The FRM includes call-out boxes in which specific topics of concern are highlighted. In addition, custom AOMI points can be shown on the FRM. Local officials can access all of the data in the FRD used to develop the FRM.

SECTION THREE ONE-DIMENSIONAL AND QUASI-TWO-DIMENSIONAL MODELS AND METHODS

Because high-velocity floodwaters may be associated with increased flood risk, velocity grids provide valuable insight into possible flood risk mitigation opportunities, such as avoiding development in these areas or reinforcing channel walls where high velocities are anticipated (generally, but not always in the floodway). Velocity grids may also be used to increase public awareness of flood hazard risks in areas identified as susceptible to high floodwater velocities.

The velocity grid dataset is a digital representation of flood velocity distribution created by mapping the velocity output. The data are obtained from FEMA-accepted riverine hydraulic models. Any point on the grid describes the average flood velocity for that floodplain location for a given flood frequency. Figure 3-1 shows an example of a riverine velocity grid.

Most approaches for coastal flood modeling can detect combinations of one-dimensional (1D) transects developed from 2D models offshore and 1D transects over land. Section 5 will discuss these types of combination models and the unique issues with modeling coastal flood velocity. FEMA's current list of approved hydraulic models is located at <http://www.fema.gov/national-flood-insurance-program-flood-hazard-mapping/numerical-models-meeting-minimum-requirement-0>.

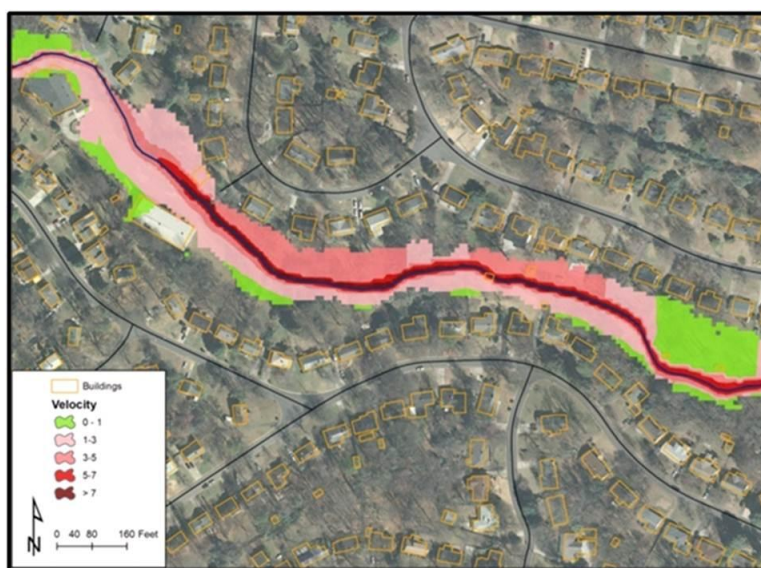


Figure 3-1: Velocity grid (1-percent-annual-chance event)

All hydraulic models use velocity as part of the calculations for determining flood elevations. As mentioned earlier, models begin with the continuity equation for water flow, $Q = V \cdot A$, where Q = water flow, V = velocity, and A = area. Each model listed on the FEMA list of approved hydraulic models uses certain simplifying assumptions and modeling approaches to route flood flows and estimate spatially varying flood elevations. The assumptions and modeling approaches also influence how velocity is approximated.

One-Dimensional and Quasi-Two-Dimensional Models and Methods

This section discusses primarily how 1D models can be used to develop velocity raster grid data. It also discusses quasi-2D approaches for velocity supported by 1D models. One-dimensional models assume that water flow occurs in one direction. Most models use a cross-section approach, where flow is modeled as 1D between each set of cross-sections. While the actual physical cross-section orientation does vary in two dimensions, the underlying equations assume 1D alignments. Figure 3-2 shows an example from the Hydrologic Engineering Center's River Analysis System (HEC-RAS) that contrasts the 1D modeling representation of cross-sections (on the left) with the actual 2D orientation of cross-sections (on the right).

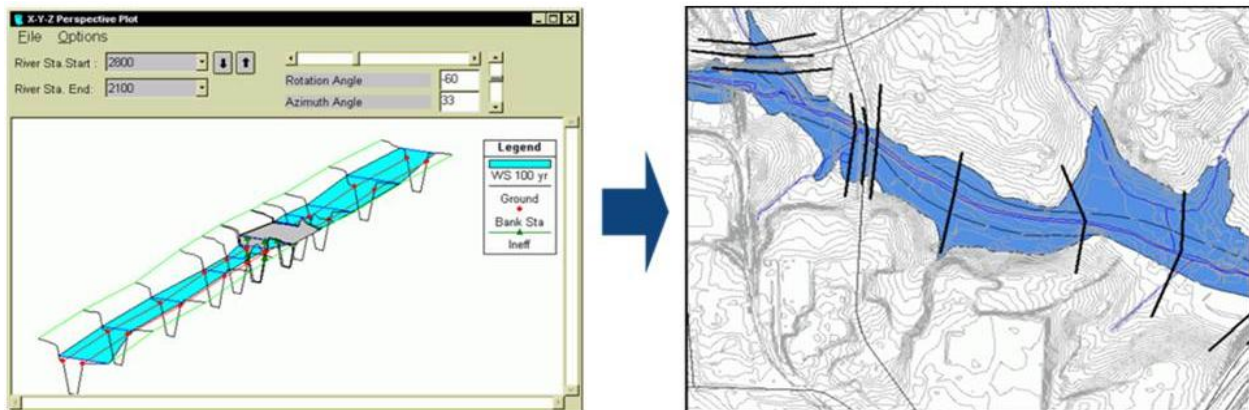


Figure 3-2: Contrast of cross-section alignment assumptions in HEC-RAS, with the 1D modeling assumption at left and the actual 2D orientation at right

The other major assumption in 1D hydraulic models concerns how flow is separated within each cross-section. Figure 3-3 shows a representation of flow conveyance for HEC-RAS, where flow is partitioned between the stream channel and left and right overbank areas. All underlying equations are calculated separated into these conveyance partitions.

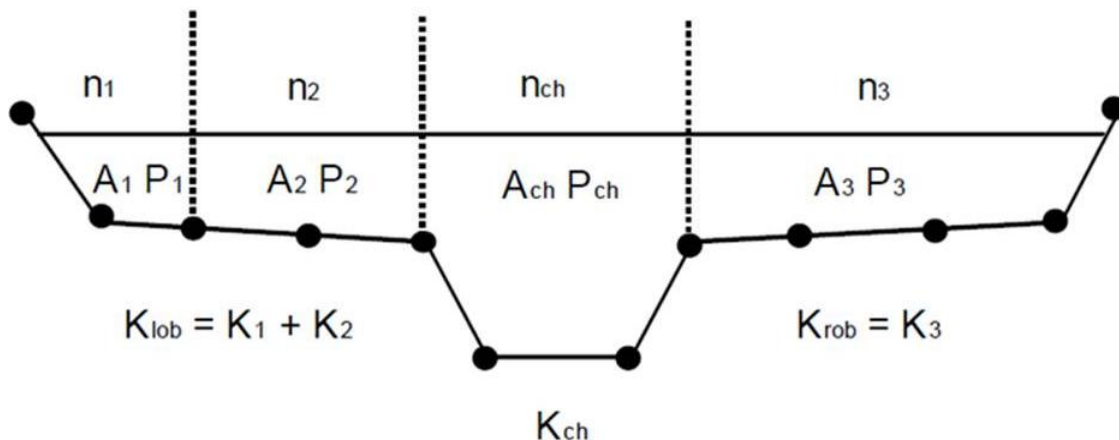


Figure 3-3: Flow conveyance in HEC-RAS, where K = conveyance and n , A , and P represent parameters used for calculations

For development of velocity grids, these assumptions limit the detail level possible from a 1D model. The next section provides more detail on how velocity grids can be developed from the most commonly used 1D hydraulic model, HEC-RAS.

3.1 HEC-RAS MODEL

3.1.1 One-Dimensional Approach

The U.S. Army Corps of Engineers' (USACE) HEC-RAS model (software and reference manuals available at <http://www.hec.usace.army.mil/>) is the most commonly used hydraulic model for riverine flooding in the United States. Just as HEC-RAS outputs can be configured to produce flood depth grids, a similar approach can be used to produce velocity grids. The main approach is to choose a certain spatial resolution for velocity output and then use geographic information system (GIS) tools to produce a velocity grid. For example, Figure 3-4 from a Risk MAP early demonstration project in Georgia shows a velocity grid developed from HEC-RAS data where a single velocity value, such as the average or maximum velocity, is used for each modeled cross-section.

The single-value approach is identical to the approach used to develop a water surface elevation grid, where a single value is used for each cross-section to develop a raster grid. One value that is commonly used for a velocity grid is the mean velocity in the floodway. Figure 3-5 shows an example of a Floodway Data Table, based on HEC-RAS output, from a standard FIS that lists the mean velocity for the floodway for each lettered cross-section.

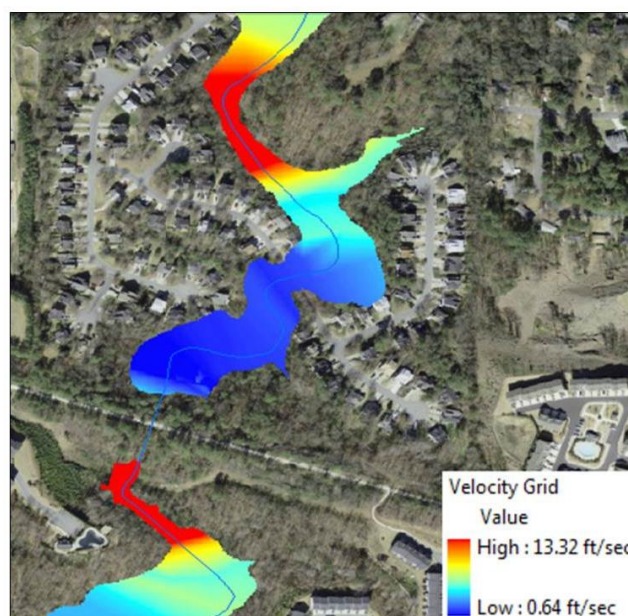


Figure 3-4: Velocity grid based on single velocity value from HEC-RAS for each cross-section (based on Risk MAP early demonstration project in Georgia)

One-Dimensional and Quasi-Two-Dimensional Models and Methods

The screenshot shows a software window titled "Profile Output Table - Standard Table 1". The window contains a table with the following columns: Reach, River Sta, Profile, Vel Total (ft/s), Vel Left (ft/s), Vel Chnl (ft/s), Vel Right (ft/s), Q Total (cfs), Min Ch El (ft), W.S. Elev (ft), Crit W.S. (ft), E.G. Elev (ft), E.G. Slope (ft/ft), Vel Chnl (ft/s), Flow Area (sq ft), Top Width (ft), and Froude. The table lists data for multiple cross-sections (e.g., Johnston Sta 56.97, 56.7955, 56.6211, 56.4466, 56.2722, 56.0977, 55.9233, 55.7488, 55.5744, 55.40, 55.22) and profiles (50 yr and 100 yr). The velocity values are shown for each profile, and the flow area and top width are also provided for each cross-section.

Reach	River Sta	Profile	Vel Total (ft/s)	Vel Left (ft/s)	Vel Chnl (ft/s)	Vel Right (ft/s)	Q Total (cfs)	Min Ch El (ft)	W.S. Elev (ft)	Crit W.S. (ft)	E.G. Elev (ft)	E.G. Slope (ft/ft)	Vel Chnl (ft/s)	Flow Area (sq ft)	Top Width (ft)	Froude
Johnston Sta	56.97	50 yr	1.20	0.93	4.94	1.00	25000.00	329.90	347.93	345.15	348.03	0.000901	4.94	20833.88	4116.43	
Johnston Sta	56.97	100 yr	1.31	1.04	5.21	1.12	31500.00	329.90	348.72	345.57	348.82	0.000931	5.21	24083.58	4126.83	
Johnston Sta	56.7955*	50 yr	1.20	0.95	5.00	0.99	25000.00	329.29	347.43	344.74	347.53	0.000904	5.00	20801.47	4187.64	
Johnston Sta	56.7955*	100 yr	1.31	1.06	5.26	1.11	31500.00	329.29	348.21	345.10	348.32	0.000932	5.26	24098.06	4206.18	
Johnston Sta	56.6211*	50 yr	1.20	0.97	5.04	0.97	25000.00	328.68	346.93	344.28	347.03	0.000901	5.04	20756.23	4254.15	
Johnston Sta	56.6211*	100 yr	1.31	1.08	5.30	1.09	31500.00	328.68	347.71	344.78	347.82	0.000928	5.30	24102.45	4295.35	
Johnston Sta	56.4466*	50 yr	1.21	0.99	5.07	0.96	25000.00	328.07	346.42	343.86	346.53	0.000897	5.07	20704.00	4314.53	
Johnston Sta	56.4466*	100 yr	1.31	1.10	5.33	1.08	31500.00	328.07	347.20	344.18	347.31	0.000924	5.33	24098.37	4364.48	
Johnston Sta	56.2722*	50 yr	1.21	1.01	5.09	0.94	25000.00	327.46	345.92	343.52	346.03	0.000889	5.09	20659.75	4377.80	
Johnston Sta	56.2722*	100 yr	1.31	1.11	5.35	1.06	31500.00	327.46	346.70	343.87	346.81	0.000915	5.35	24098.60	4443.44	
Johnston Sta	56.0977*	50 yr	1.21	1.03	5.08	0.92	25000.00	326.84	345.42	342.95	345.54	0.000869	5.08	20648.03	4441.94	
Johnston Sta	56.0977*	100 yr	1.31	1.13	5.32	1.04	31500.00	326.84	346.20	343.40	346.32	0.000892	5.32	24137.03	4508.66	
Johnston Sta	55.9233*	50 yr	1.21	1.04	5.05	0.90	25000.00	326.23	344.94	342.48	345.05	0.000843	5.05	20695.28	4502.83	
Johnston Sta	55.9233*	100 yr	1.30	1.15	5.27	1.01	31500.00	326.23	345.72	342.84	345.84	0.000860	5.27	24245.21	4562.38	
Johnston Sta	55.7488*	50 yr	1.20	1.04	4.96	0.87	25000.00	325.62	344.47	342.14	344.58	0.000802	4.96	20845.36	4551.92	
Johnston Sta	55.7488*	100 yr	1.29	1.16	5.16	0.97	31500.00	325.62	345.27	342.38	345.37	0.000810	5.16	24472.27	4592.27	
Johnston Sta	55.5744*	50 yr	1.18	1.04	4.82	0.84	25000.00	325.01	344.04	341.54	344.14	0.000741	4.82	21153.87	4614.88	
Johnston Sta	55.5744*	100 yr	1.27	1.16	4.99	0.94	31500.00	325.01	344.84	342.02	344.94	0.000744	4.99	24878.91	4648.19	
Johnston Sta	55.40	50 yr	1.15	1.03	4.63	0.80	25000.00	324.40	343.64	341.05	343.74	0.000672	4.63	21653.62	4680.73	
Johnston Sta	55.40	100 yr	1.24	1.14	4.78	0.89	31500.00	324.40	344.46	341.55	344.55	0.000672	4.78	25485.96	4713.91	
Johnston Sta	55.22*	50 yr	1.15	1.00	4.63	0.80	25000.00	323.81	343.23	340.67	343.33	0.000690	4.63	21778.82	4764.32	

Average velocity of flow in total cross section.

Figure 3-6: HEC-RAS output tables showing several velocity values for each cross-section and profile (return period)

Figure 3-6 shows that even standard HEC-RAS tables can provide velocity data at every cross-section, not just lettered cross-sections, for multiple return periods. HEC-RAS can provide more than the mean velocity for the floodway, but it can also provide an average velocity for the entire cross-section. In addition, the figure shows the velocity value can be separated by the conveyance separation (left overbank, channel, and right overbank). In fact, as discussed in the next section on quasi-2D HEC-RAS velocity modeling, HEC-RAS and HEC-GeoRAS (an extension for support of HEC-RAS using ArcView) both have tools that can approximate 2D velocity distributions.

3.1.2 Quasi-Two-Dimensional Approach

The HEC-RAS model provides ways to approximate a 2D distribution of velocity. However, because the underlying equations in HEC-RAS are only 1D, these methods are considered “quasi-2D.” When performing calculations, HEC-RAS estimates velocities at a much more detailed level than only channel and overbanks. Figures 3-7 and 3-8 show a graphical and tabular depiction of the velocity variation modeling within a cross-section.

One-Dimensional and Quasi-Two-Dimensional Models and Methods

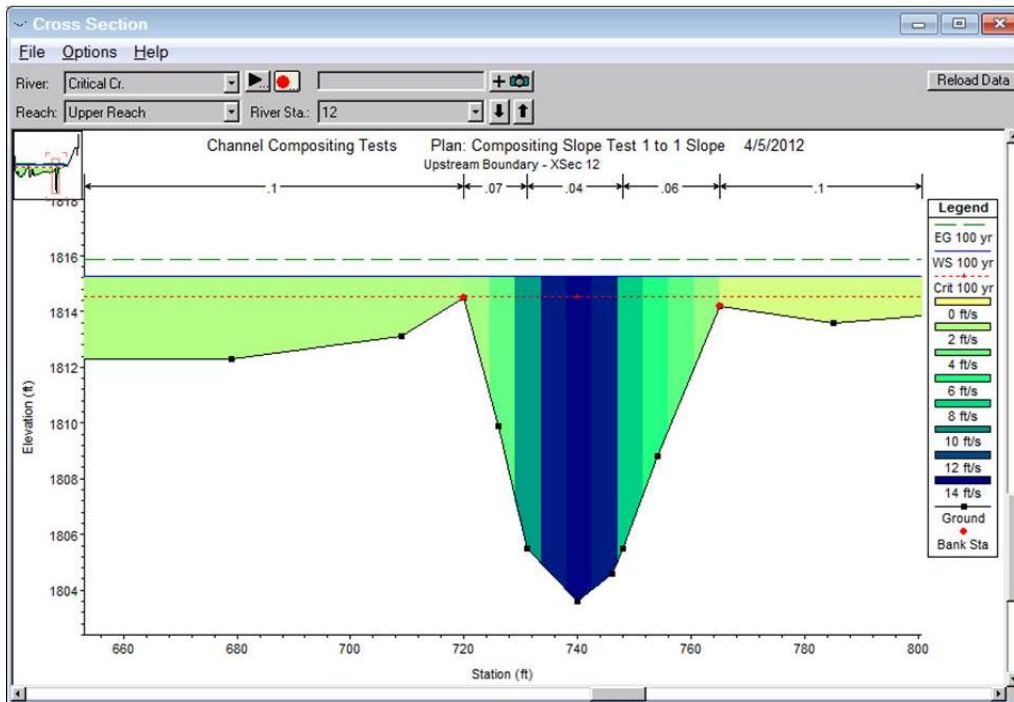


Figure 3-7: Velocity distribution plot from HEC-RAS, Version 4.1, where dark blue shows the fastest velocity and green shows the slowest

Pos	Left Sta (ft)	Right Sta (ft)	Flow (cfs)	Area (sq ft)	W.P. (ft)	Percent Conv	Hydr Depth (ft)	Velocity (ft/s)	Shear (lb/sq ft)	Power (lb/ft s)
1 LOB	0.00	72.00	289.15	136.34	51.20	3.21	2.68	2.12	0.96	2.03
2 LOB	72.00	144.00	925.83	314.27	72.08	10.29	4.36	2.95	1.57	4.62
3 LOB	144.00	216.00	810.02	290.00	72.05	9.00	4.03	2.79	1.45	4.05
4 LOB	216.00	288.00	303.68	161.80	72.97	3.37	2.25	1.88	0.80	1.50
5 LOB	288.00	360.00	576.52	237.91	73.14	6.41	3.30	2.42	1.17	2.84
6 LOB	360.00	432.00	757.70	278.59	72.03	8.42	3.87	2.72	1.39	3.79
7 LOB	432.00	504.00	594.99	240.94	72.01	6.61	3.35	2.47	1.20	2.97
8 LOB	504.00	576.00	483.95	212.85	72.00	5.38	2.96	2.27	1.06	2.42
9 LOB	576.00	648.00	545.78	228.78	72.01	6.06	3.18	2.39	1.14	2.73
10 LOB	648.00	720.00	384.85	185.61	72.10	4.28	2.58	2.07	0.93	1.92
11 Chan	720.00	724.50	27.84	11.23	5.67	0.31	2.50	2.48	0.71	1.77
12 Chan	724.50	729.00	119.05	27.27	5.89	1.32	6.06	4.37	1.67	7.28
13 Chan	729.00	733.50	415.32	42.87	5.22	4.61	9.53	9.69	2.96	28.63
14 Chan	733.50	738.00	640.87	48.48	4.60	7.12	10.77	13.22	3.79	50.14
15 Chan	738.00	742.50	712.61	51.58	4.58	7.92	11.46	13.82	4.05	56.01

Errors, Warnings and Notes

- Warning:** The velocity head has changed by more than 0.5 ft (0.15 m). This may indicate the need for additional cross sections.
- Warning:** The conveyance ratio (upstream conveyance divided by downstream conveyance) is less than 0.7 or greater than 1.4. This may indicate the need for additional cross sections.
- Warning:** The energy loss was greater than 1.0 ft (0.3 m) between the current and previous cross section. This may indicate the need for additional cross sections.

Figure 3-8: Example of tabular flow distribution output for a single cross-section from HEC-RAS

One-Dimensional and Quasi-Two-Dimensional Models and Methods

HEC-RAS calculates velocities for each point in a cross-section by using the flood distribution option. There is currently no minimum standard for capturing flood velocity distribution data, but the scale or number of velocity points or subdivisions to be specified per cross-section should be representative of the variation of velocity across the channel and overbank areas. It may be necessary to augment user-defined cross-sections with interpolated cross-sections to obtain sufficient flood velocity data at areas of interest, such as known flooding “hot spots,” flood-prone structures, critical facilities, and populated areas. However, user-defined or interpolated cross-section data are no substitute for more detailed initial data gathering. Figure 3-9 from the HEC-RAS Reference Manual for Version 4.1 shows how interpolated cross-sections are calculated from the two bounding cross-sections.

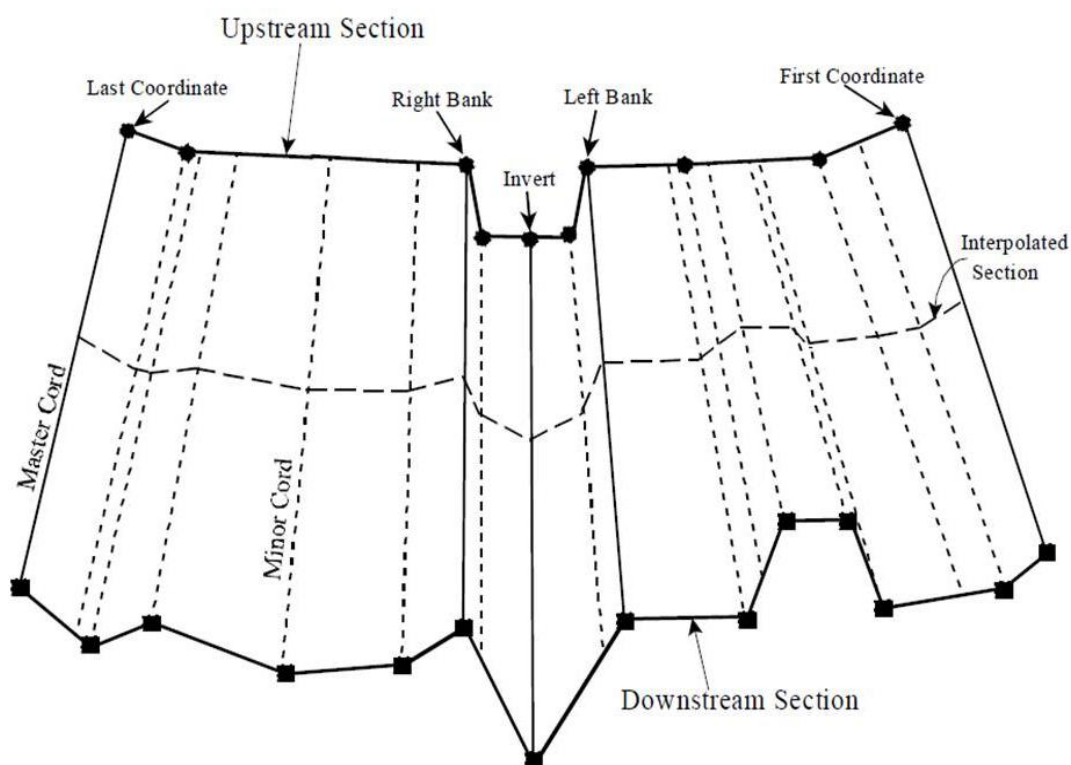


Figure 3-9: HEC-RAS model for cross-section interpolation from HEC-RAS Version 4.1 Reference Manual

When velocity data are general, including velocities along interpolated cross-sections, tools like HEC-GeoRAS (available from <http://www.hec.usace.army.mil/>) can be used to produce quasi-2D velocity grids. Figure 3-10 shows the Velocity Mapping tool within HEC-GeoRAS.

One-Dimensional and Quasi-Two-Dimensional Models and Methods

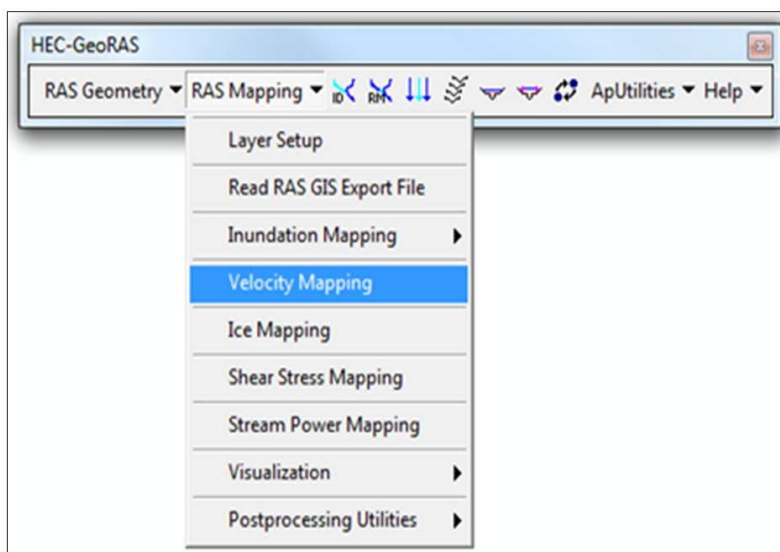


Figure 3-10: HEC-GeoRAS velocity mapping tool

Figure 3-11 shows an example from the HEC-GeoRAS Version 4.3 User's Manual of a quasi-2D velocity grid that was developed from velocity points from modeled and interpolated cross-sections from HEC-RAS.

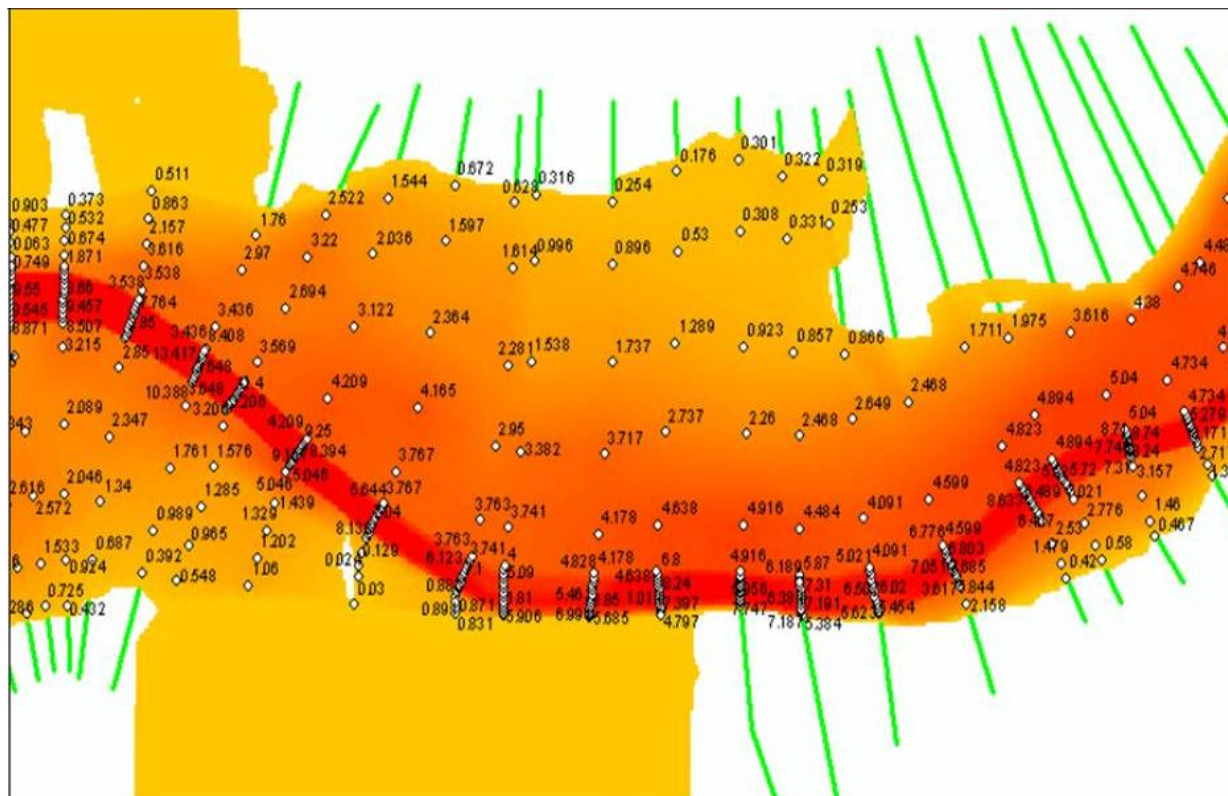


Figure 3-11: Interpolated velocity grid developed with HEC-GeoRAS from HEC-RAS velocity output

Therefore, HEC-RAS, with the assistance of GIS tools like HEC-GeoRAS, can be used to produce quasi-2D velocity grids.

3.2 BEST PRACTICES

When 1D models like HEC-RAS are used to produce velocity grids, the following best practices should be followed:

- Velocity grids should be used with caution because the assumptions in the 1D modeling approach may imply a greater precision than is supported by modeling and the data used. HEC-RAS and other 1D models may be able to produce quasi-2D velocity grids, but users should understand the limitations of these abilities.
- At a minimum, the velocity grid should be developed from the mean floodway velocity from lettered cross-sections. The velocity values are available in FIS Floodway Data Tables and are familiar to many floodplain managers and engineers. However, because the velocity grid is based on an average velocity, the values should be considered as advisory data and not used for site-specific design purposes.
- Developing the velocity grid based on the three main conveyance areas (channel, left overbank, and right overbank) is slightly better than developing it based only on the mean floodway velocity. However, even these velocity values may be too generic, depending on how the velocity data are used for site-specific design and construction decisions.
- All velocity grid developers need to take into account model assumptions, such as ineffective flow areas, areas around structures, and backwaters. Adjustments may also be needed for surface roughness (i.e., Manning values). This may require manually adjusting the velocity grid after automated tools create the initial grid.
- All velocity grids need to be consistent with other Risk MAP products, including the regulatory flood boundaries and depth grids. In most cases, the velocity grid should be populated only in locations that also include a depth grid. However, if manual raster development is needed to match the depth grid up with the base flood boundary, then the velocity grid will also need to be adjusted based on engineering and modeling judgment.

SECTION FOUR TWO-DIMENSIONAL MODELS AND METHODS

Two-dimensional hydraulic models provide a more detailed approach to approximate water flow. The key concept is that water flow is not in one direction (straight between cross-sections), but is a vector or in two dimensions as shown in Figure 4-1.

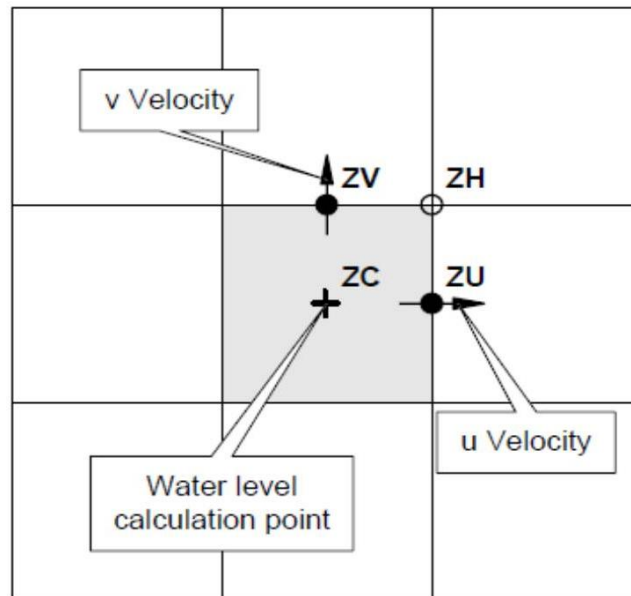


Figure 4-1: Two-dimensional hydraulic model representations of water velocity from XP 2D model (Available from XP Solutions at <http://www.xpsolutions.com/>)

These modeling approaches use either finite element or finite difference calculation approaches with equations representing conservation of continuity (flow) and momentum (energy). Models use a variety of “cell” types, including square elements (as shown in Figure 4-1) or triangular elements. Underlying terrain data have a major influence in determining how water flows downhill from cell to cell. Therefore, the quality of terrain data has a major influence on how well the model can perform and should reflect features that would influence the flood modeling. Most models can accommodate unsteady flow conditions, where flood hydrographs are simulated based on discrete times. This allows 2D models to approximate complex hydraulics, such as coastal and tidal locations.

There is no one dominant 2D model used for FEMA Risk MAP projects. Certain 2D models are appropriate for low-slope riverine conditions, while others are used for alluvial fans, and still others are used for coastal floodplain modeling. Because velocity plays a major role in the calculations of all models, each model has velocity information available to develop a velocity grid. However, the methods for exporting and mapping this velocity data vary. Therefore, rather than focusing on a specific model, the following subsections discuss three major ways that 2D models can be used to produce velocity. The first way is the direct approach, where velocity data are directly supported by the models and can be explicitly exported from the model. The second way can be described as an indirect approach, where 2D outputs other than velocity are exported from a model, such as the depth grid, and then the velocity grid is derived from these other data.

The third way is a hybrid modeling approach, usually involving linking 1D and 2D models. For these hybrids, including most coastal flood modeling, velocity grids may need to be developed from several different types of models.

4.1 DIRECT APPROACH FOR DEVELOPING TWO-DIMENSIONAL MODELS

Two-dimensional models that support direct velocity grid development are those models that include output options for velocity, usually at the model cell level. For example, Figure 4-2 shows a velocity grid map from the FLO-2D model for Beaver Dam Wash in Arizona.

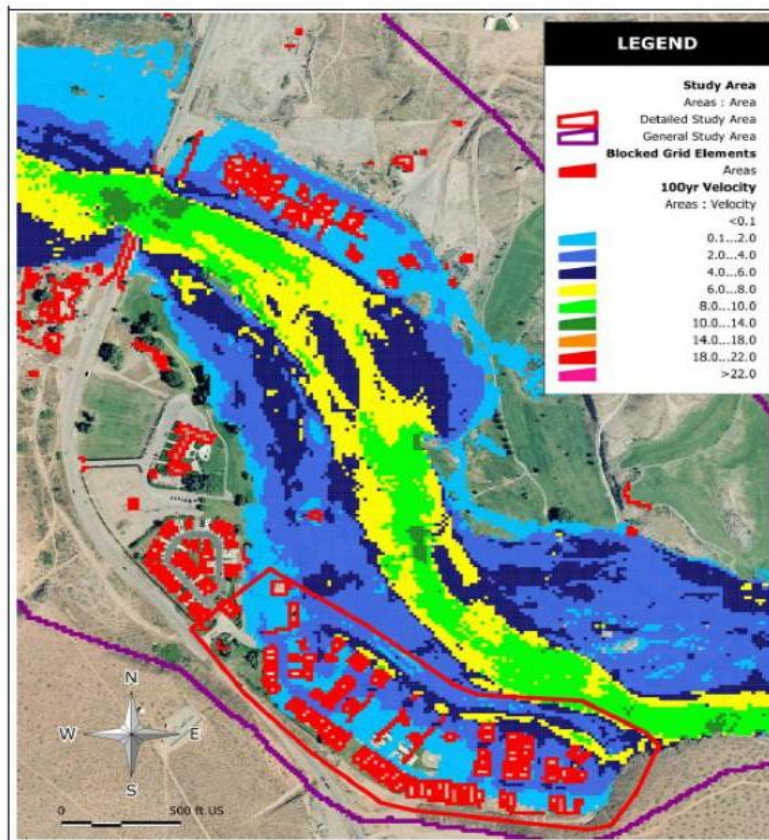


Figure 4-2: Velocity grid map from FLO-2D model for Beaver Dam Wash in Arizona²

Many models also support the export of velocity direction information at various time steps, so velocity can be shown with vectors (as in Figure 4-3) or as computer video simulations. For Risk MAP outreach meetings, these kinds of visualization can be effective in showing how flow changes over time, especially in tidal areas where flow velocities and direction can vary greatly.

² From *Beaver Dam Wash Flood Hazard Assessment, Mohave County Flood Control District, Kingman, AZ.*

Available at:

<http://resource.co.mohave.az.us/FloodControl/Mohave%20County%20Studies%20and%20Plans/Beaver%20Dam/BeaverDamWashFloodHazardAssessmentReportofFindings.pdf>

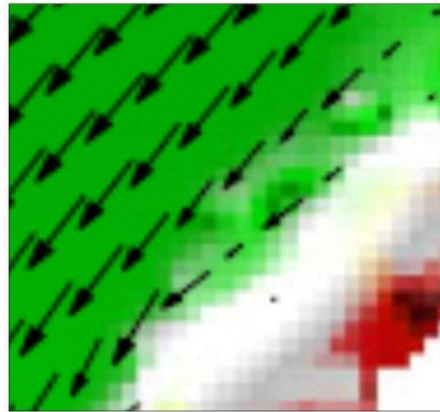


Figure 4-3: Velocity mapping showing both direction and magnitude from XP 2D User Manual

The following best practices should be followed for 2D models that directly output velocity data:

- Provide a clear explanation of limitations in 2D models. Because 2D models can show a very detailed view of flood information, users can have a false impression about data quality. For example, terrain data quality has a huge impact on the resolution of velocity grids. For instance, the XP 2D User Manual shows an example where the underlying terrain triangulated irregular network (TIN) has quality issues, as shown in Figure 4-4.

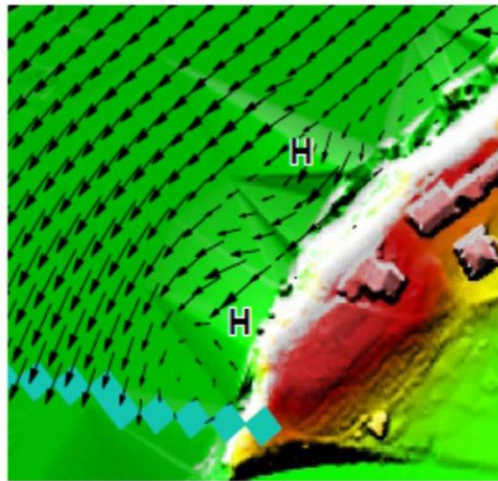


Figure 4-4: Velocity grid issues caused by a couple of false ridges indicated by the H's (from XP 2D User Manual)

Because 2D models consider all terrain data, velocity vectors flow up and down over these artificial ridges and indicate a small area with low velocity. These types of issues should be addressed as part of the quality control during regulatory modeling so the final velocity data look more like Figure 4-5.

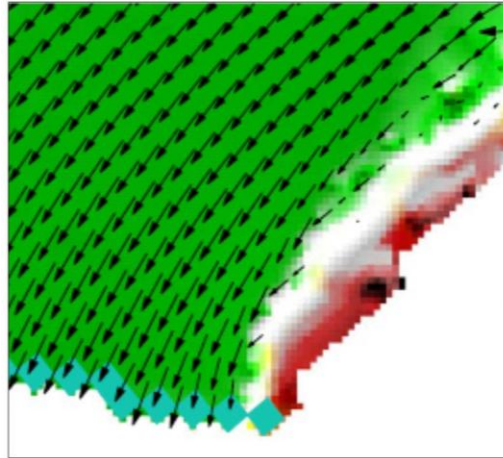


Figure 4-5: Velocity grid showing corrections made to TIN issues (from XP 2D User Manual)

Therefore, if there are known quality issues, these issues should be explained to potential users. As with 1D models, the 2D modeling limitations can include ineffective flow areas, areas around structures, and backwater areas. Often 2D modeling may only be prepared in certain locations, so where the 2D model output was used to develop the velocity grid should be clear to users.

- Be clear about what time step is being represented by an individual depth grid. Because many 2D models address unsteady flow, a particular velocity grid is tied to a specific time step within the model. For a traditional riverine model with flood hydrograph with a single peak, the maximum velocity values for most locations are based on when the peak flow passes by. However, in flatter areas, especially coastal areas, grids show maximum velocities at different times, and very likely in different directions. Therefore, a series of velocity grids may need to be developed to portray the range of velocities experienced from a modeled event.
- Development of the velocity grid may be limited by the cell type used by the 2D model. When a 2D model is based on square cells, the resulting velocity grid can come directly from those cells. When the 2D model uses other types of cells, such as the triangles shown in Figure 4-6, GIS calculations are needed to convert these data into a regular grid. Typically, this is done by converting velocity values to points at the center or centroid of each cell and then interpolating the raster grid value. If the raster grid is much larger than the original cells, much of the resolution of the original data may be lost. In Figure 4-6, the velocity values for the small cells representing the culvert might not show up if the final regular grid is too large. Therefore, GIS developers should make sure that the major trends shown in the original model output could still be shown in the final velocity grid.

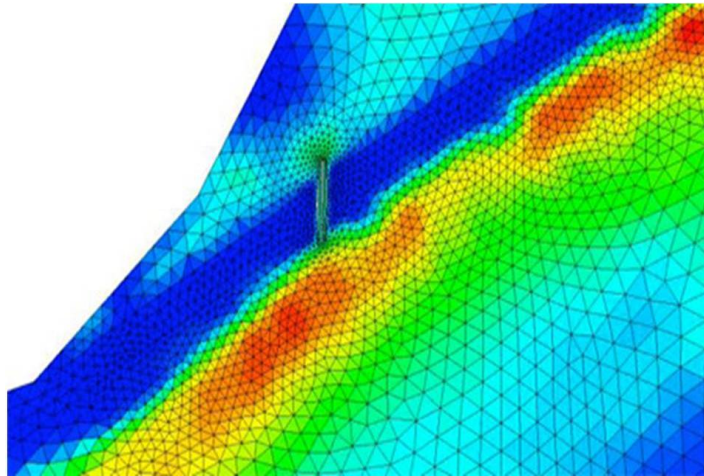


Figure 4-6: Triangular cells used in 2D flow modeling from FLO-2D (Available from FLO-2D Software at <http://http://www.flo-2d.com/>)

- Like 1D modeling, velocity grids in 2D modeling should be consistent with other Risk MAP products, when appropriate. This includes regulatory flood boundaries and depth grids. In locations where velocities are close to zero, such as large lakes or backwater areas, engineering and modeling judgment are needed to decide whether the model results need to be adjusted.

4.2 INDIRECT APPROACH FOR DEVELOPING TWO-DIMENSIONAL MODELS

Two-dimensional models can also support velocity grid development, even when velocity is not or cannot be directly exported from a hydraulic model. An indirect approach is to use 2D outputs other than velocity as the basis for deriving a velocity grid. The most common basis is the flood depth grid. This approach is most often used when a model does not have the capability to directly export velocity data or when a historical flood analysis is being used where only select output are available.

For example, FEMA P-55, *Coastal Construction Manual*, gives guidelines on estimating water velocity grids from the stillwater depth grid. Estimations of design flood velocities in coastal flood hazard areas by this method are subject to considerable uncertainty (as discussed in FEMA P-55). Given this uncertainty, Equation #8.2 from FEMA P-55 can be used for the upper-bound velocities.

$$\text{Equation \#8.2: Velocity (feet/second)} = (32.2 \times \text{stillwater depth})^{0.5}$$

A similar approach can also be used for riverine situations. For example, one could start with a flood depth grid and information about land cover. A cell-based version of Manning's equation can be used to derive velocity, with assumptions, including Manning's n values, based on land cover and partitioning flows across the floodplain.

The following best practices should be followed for these indirect approaches:

- Be sure to provide sufficient documentation of how these indirect approaches were used. With the exception of the coastal methods based on FEMA P-55, *Coastal Construction Manual*, all other indirect approaches tend to be more ad hoc and highly dependent on specific models and data availability. A detailed description should include modeling approaches used and associated assumptions. Documentation should also address how these methods could be applied in other locations.
- Include documentation on use limitations. These indirect methods may only be applied in certain locations or to address particular questions. Therefore, documentation for these velocity grids needs to describe how this information should and should not be used and how the values should be shown. For example, showing velocities divided into a few categories (low, medium, high) rather than specific ranges of values may be advisable.

4.3 HYBRID APPROACH FOR DEVELOPING TWO-DIMENSIONAL MODELS

The final way that 2D models can support velocity grid development is as part of a hybrid modeling approach. This approach combines two or more separate models (1D and 2D) to satisfy the regulatory mapping requirement. The most common hybrid approach is used for coastal modeling, where 2D surge models are combined with 1D transect models and wave run-up models. Figure 4-7 shows an example of a coastal velocity grid based on this hybrid approach.

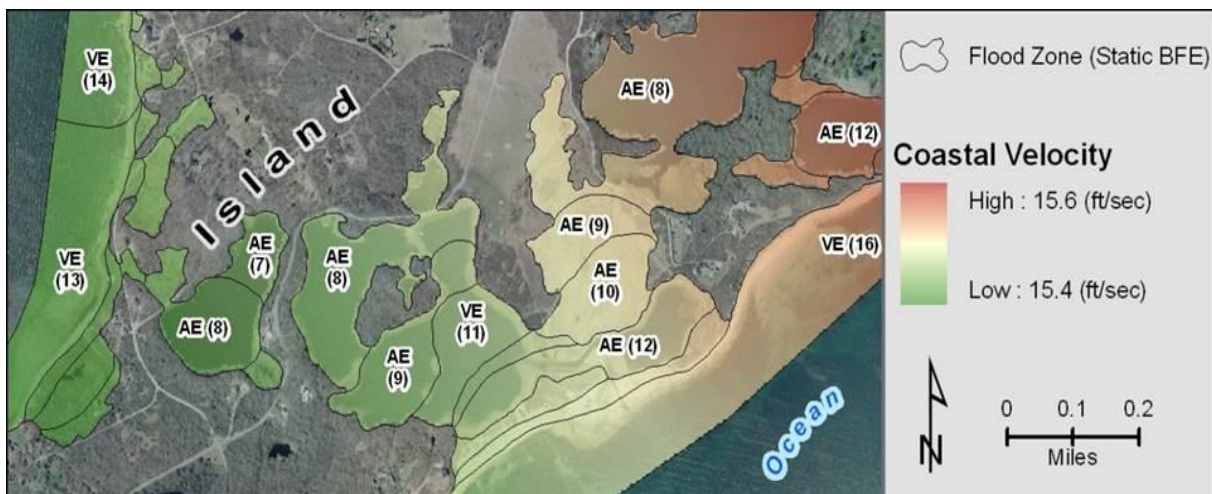


Figure 4-7: Coastal velocity grid example from *Guidelines and Specifications for Flood Hazard Mapping Partners, draft Appendix N: Flood Risk Data Development (2012b)*

Riverine models can also be developed using a hybrid approach. Figure 4-8 shows the conceptual approach used by many 2D models, where the channel is represented by a 1D model and the overbanks are modeled with a 2D approach.

Two-Dimensional Models and Methods

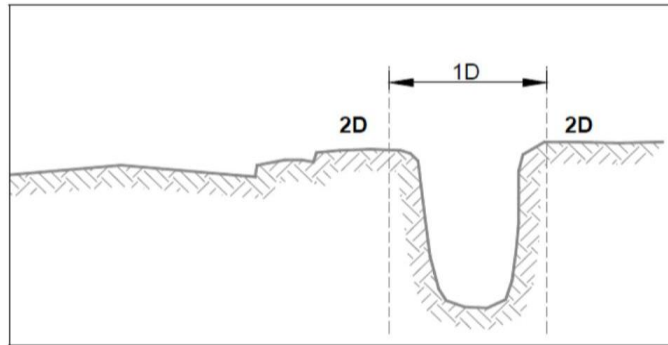


Figure 4-8: Hybrid modeling approach from XP 2D User Manual

How the 1D and 2D portions are linked depends on individual model capabilities. Figure 4-9 shows two ways that XP 2D supports these links.

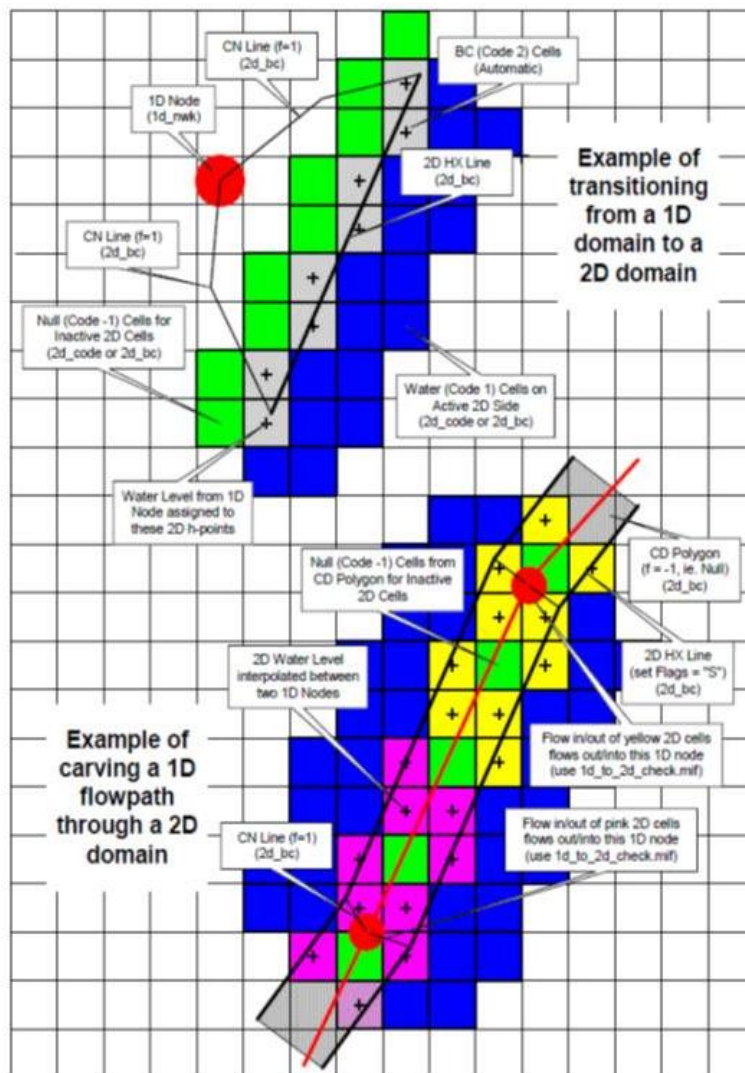


Figure 4-9: XP 2D approaches to link 1D and 2D modeling domains from XP 2D User Manual

The example shown at the top of Figure 4-9 has a one-cell-wide channel, where the 1D portion assigns values to individual cells within the 2D framework. The example shown at the bottom of Figure 4-9 shows a more complex approach, where the 1D flow path carves out a channel several cells wide in the 2D framework.

4.4 THREE-DIMENSIONAL MODELS

Some hydraulic models now have the capability for three-dimensional (3D) approximations of flow. Conceptually, 3D models are more complex than 2D models. These have some specific 3D data needs, but can use many of the same input data sets as 2D models. For example, the ADaptive Hydraulics (ADH) modeling system developed by the Coastal and Hydraulics Laboratory of the USACE³ is capable of handling 3D flow and 3D shallow water problems. ADH uses a 3D mesh of either triangles or quadrilaterals to represent terrain with X, Y, and Z coordinates.

Like 2D models, 3D models can also calculate velocities directly, indirectly, or with a hybrid approach when combined with other models. Because velocity calculations in 3D models have both horizontal and vertical components, the development of a velocity raster (with only two dimensions) requires the developer to decide how to simplify the data. Some types of rasters that could be derived from a 3D model include:

- Overall velocity vector value (considers all three dimensions)
- Horizontal velocity value (considers only X and Y components of velocity)
- Vertical velocity value (considers only Z component of velocity)
- Velocity direction values (represented by a direction and/or slope)

The decision of which rasters to develop is based on local needs, GIS capabilities, and how the data will be used for the different Risk MAP meetings. Because there are no 3D models on the current FEMA list of nationally acceptable hydraulics models, very few studies will likely use this level of modeling complexity.

³ https://adh.usace.army.mil/new_webpage/main/main_page.htm

SECTION FIVE USING FLOOD VELOCITY DATA FOR BUILDING DESIGN

Buildings in flood hazard areas may be subjected to a variety of flood-induced forces. During inundation by standing or low-velocity floodwaters, a building must resist primarily hydrostatic pressures from saturated soils and floodwaters. This situation is typical of broad, flat floodplains and floodways along low-gradient rivers and streams. Horizontal hydrostatic forces against a structure are created when the level of standing or slowly moving floodwaters on opposite sides of a superstructure or foundation wall are not equal. These forces are primarily a function of flood depth. Saturated soil will also create hydrostatic pressure; thus, for building foundations or other below-grade elements, the effect of saturated soil must be considered in the design of these building elements. Flooding can also cause vertical hydrostatic forces, resulting in flotation of the submerged object, such as a building. Rapidly rising floodwaters can also cause structures to float off their foundations.

When flood velocities increase, the magnitude of the hydrodynamic forces relative to the hydrostatic forces also increases. During inundation by high-velocity riverine and coastal floodwaters, a building must be able to resist both hydrodynamic forces and flood-borne debris impact loads. High-velocity floodwaters are found in floodways along steeper-gradient rivers, sheet-flow down slopes, and coastal areas with storm surge and waves.

High velocities in riverine and coastal flood areas create hydrodynamic forces on submerged buildings and foundations. The hydrodynamic forces are capable of collapsing solid walls and dislodging buildings with inadequate foundations. These forces are primarily a function of flood flow velocity, but are also influenced by flood depth and structure geometry. FEMA P-259, *Principles and Practices for Retrofitting Flood-Prone Residential Structures* (2012a), is a good source of design information for determining both hydrostatic and hydrodynamic loads for buildings located in or near riverine flood plains.

High-velocity flows can also result in scour around foundations and water-based infrastructure (culverts, bridge supports, and utility support structures) due to large quantities of sediment and debris. Scour around or under a structure can make the water deeper, which increases hydrodynamic forces. Knowing what type of soil supports a building is important for assessing the likelihood of scour.

Obtaining accurate velocity and depth information is the most important task in determining the magnitude of flood loads. The Risk MAP products described in this document can help building professionals estimate flood velocity at any particular point in the riverine floodplain. The estimates and the supporting floodway velocity information are based on the continuity equation for fluid flow: $Q = V \cdot A$ where Q is the stream flow in cubic feet per second, V is the velocity in feet per second (fps) and A is the cross-sectional area of the stream in square feet. Thus, velocity can be estimated using the FIS channel cross-sections across the stream and the stream cross-sectional area.

The community FIS contains a Floodway Data Table (see section 2.1.1 for more details) that includes some data on mean velocities (in fps) within the floodway for riverine studies for each cross-section along the river or stream. The mean velocity is based on an average of the higher

channel velocities and the lower floodway velocities in overbank. Generally, velocities at sites outside of the floodway are lower than the mean floodway velocities listed in the Floodway Data Table.

The presence of manmade flow obstructions along the flow path within channels or a shoreline can create or exacerbate high-velocity flows. In developed areas, scour and erosion are magnified when channelized flow is forced between existing buildings or through undersized infrastructure. Existing buildings in riverine and coastal flood areas that are not elevated enough above the design flood level or strong enough to resist flood loads are vulnerable to overwash, excessive scour, high-velocity flows or waves, or some combination of these flood forces.

In addition to hydrostatic and hydrodynamic forces, flood-borne debris must be accounted for in building design in floodplains. These forces are primarily a function of the weight and velocity of the debris, which is assumed to flow at the same velocity as the flood water. Flood-borne debris impact forces are also a function of the impact duration, which can vary significantly and is estimated based on empirical studies. Refer to FEMA P-259 and American Society of Civil Engineers (ASCE) 7-10, *Minimum Design Loads for Buildings and Other Structures*, Commentary Chapter C5 for details. This is a conservative assumption of debris impact loads because, depending on the weight of the debris, it is likely that it will tumble or flow along or near the bottom of the water, where velocity is lower. However, some debris does float at or near the surface, so it is prudent to design buildings and infrastructure to resist this debris.

The design for resisting flood loads should use the most restrictive flood case—either hydrostatic or hydrodynamic. The highest possible load of flood-borne debris loads should be used for determining building collapse possibilities because the equivalent size debris will not likely be large enough to affect the entire flood impact area on a building. The flood loads associated with wave forces on a building will depend primarily on the depth of flooding at the building foundation. These loads must be added to the hydrostatic, hydrodynamic, and debris impact loads to understand the total flood loads that could act on the building foundation.

Siting (proximity to a flooding source) can also affect foundation performance under flood conditions, particularly in coastal areas. Building close to a shoreline is a common, and often poor, siting practice. It generally renders a building more vulnerable to wave, flood, and erosion effects and reduces any margin of safety against multiple storms or erosion events. If flood hazards increase over time, the building may require removal, protection, or demolition. In coastal areas subject to long-term or episodic erosion, poor siting often leads to otherwise well-built elevated buildings standing on the active beach and potentially susceptible to additional wave impacts.

In high-velocity flow areas, open foundation systems such as piers, piles, or columns can greatly reduce hydrodynamic loads and, therefore, reduce damage. In addition, proper siting can also reduce the flood loads on the foundation. Although the National Flood Insurance Program (NFIP) allows solid foundation walls in Zone A areas with hydrostatic openings sized based on the footprint of the building and positioned per guidelines in FEMA Technical Bulletin 1, solid foundation walls are not recommended in Coastal A Zones where highly erodible soil conditions

exist. Solid foundation walls are not permitted in Zone V. Because the hydrodynamic flows can be greatest in coastal areas, an open foundation is the best way to elevate a residential building in those areas.

5.1 USING FLOOD VELOCITY DATA FOR FOUNDATION DESIGN

Building design is one of the most important factors of successful foundation and superstructure performance in riverine and coastal floodplain areas. Observations of building damage resulting from past storm events have not only provided insight into the design of buildings, but have led to positive changes in building design codes and standards. Newer buildings built to these codes tend to perform better. However, certain design flaws still exist and are observed year after year during post-disaster evaluations of building performance.

Preliminary calculations indicate that prescriptive provisions for closed foundations for Special Flood Hazard Areas (SFHAs) in the International Residential Code may be inadequate to withstand the effects of higher hydrodynamic loads in combination with flood-borne debris impact loading. However, further research and vetting of these calculations are necessary to confirm their validity.

In high-velocity flow areas, NFIP regulations require that areas below the lowest floor of elevated buildings must be either free of obstructions or enclosed by non-supporting breakaway walls, open lattice-work, or insect screening. Enclosed area use is limited to vehicle parking, building access, or storage. Per Section 60.3(e)(5) in Title 44 of the Code of Federal Regulations, breakaway walls should be designed and constructed with the “intention to collapse under wind and water loads without causing collapse, displacement, or other structural damage to the elevated portion of the building or supporting foundation system....” FEMA Technical Bulletin 9, *Design and Construction Guidance for Breakaway Walls Below Elevated Buildings* (August 2008) should be used as guidance for the design and construction of breakaway walls.

Normally, estimating the speed and direction of high-velocity flows is difficult and subject to considerable uncertainty. However, with the flood velocity data grids generated by the non-regulatory datasets, designers, permit reviewers, and building officials will have velocity data for any areas in the SFHA. Section 8.5.6 of FEMA P-55, *Coastal Construction Manual*, has guidance on estimating high-velocity flows based on proximity to the flooding source and obstructions in the flood path that could reduce the velocity.

Although design and permit review professionals have multiple sources for design calculations that meet or exceed local building codes for construction in or adjacent to floodplain areas, FEMA has developed publications that provide comprehensive guidance on the rigorous application of site-specific load determinations and best practices design methodology. These publications have been peer reviewed and verified by building code organizations and national experts. There are 12 FEMA publications with information and design requirements to address high velocities that may be used in conjunction with the recently updated documents below, which provide the guidance needed for a majority of coastal and riverine design calculations:

- FEMA P-55, *Coastal Construction Manual: Principles and Practices of Planning, Siting, Designing, Constructing, and Maintaining Residential Buildings in Coastal Areas*, Volumes I and II (2011). <http://www.fema.gov/residential-coastal-construction>
- FEMA P-259, *Engineering Principles and Practices for Retrofitting Flood-Prone Residential Structures* (2012a). <http://www.fema.gov/library/viewRecord.do?id=1645>

FEMA P-55 contains detailed information on the coastal flood data contained on the FIRMs for coastal communities, different types of coastal flood effects, erosion, and foundation design considerations and best practices for coastal areas of the United States and territories based on flood hazard assessments.

It is imperative that the designs and construction plans be prepared by qualified professionals who understand the technical evaluations and calculations needed to meet the design requirements for structures in and adjacent to floodplain areas. Designs for new or retrofit construction that are based on the site-specific velocity values can be more efficient and perform better for specific loads than designs based on more general guidance for areas with unknown or estimated velocities.

When available as part of a Risk MAP non-regulatory product (see Section 1.2), the velocity data from the Flood Depth and Analysis Grid datasets can be used in design equations as follows:

- For sites that show more than one value on the velocity grid, use the highest velocity value, in fps, for the design equations.
- Depending on the channel depth near the structure site, the velocity grid may also contain velocity values that increase from the channel bottom to the water surface. Although the highest velocity values are usually at the water surface, the highest velocity from the column of water should be used for design calculations.

5.2 DAMAGE IN RIVERINE SFHAs

The following are representative types of damage common to riverine SFHAs. The depth, velocity, and duration of flooding plus the local soil conditions and the elevation of the first floor in relation to the flood elevation can reduce or increase the level of damage.

- The most common form of structural damage to pre-FIRM residential buildings is the failure of foundation walls, especially those constructed from unreinforced masonry.
- Pre-FIRM residential buildings may also lack sufficient openings in the foundation walls to allow the ingress and egress of floodwaters. In residential buildings that have openings in their foundation walls, damage may still occur if the openings are too high above the ground or obstructed by insulation or other building elements.
- Other development in the SFHA, such as placing unanchored propane tanks, storage sheds, or garages in the floodplain, can become damaging sources of debris as floodwaters rise.

Using Flood Velocity Data for Building Design

The following best practices are recommended for new and retrofit construction in riverine SFHAs. Basement wall failures are common in older construction where basements lack reinforced foundation walls to resist lateral loads caused by hydrostatic forces and saturated soils. These failures are found primarily in pre-FIRM basements within the SFHA and older unreinforced foundation walls outside the SFHA.

Other than basements, foundation failures may be caused by either hydrostatic or hydrodynamic forces. Hydrodynamic force failures occur due to high-velocity floodwater acting directly upon the foundation. These failures are likely to occur in two places:

- Near stream channels where floodwater exits the channel and enters the floodplain at high velocity, such as at the outer side of stream bends
- Near failed levees that allowed concentrated floodwater to enter the floodplain at high velocity

Figure 5-1 illustrates a pre-FIRM foundation that was exposed to hydrodynamic forces as wind-driven waves flowed from a lake into a nearby river.



Figure 5-1: Pre-FIRM foundation that was exposed to hydrodynamic forces as wind-driven waves flowed from a lake into a nearby river

Because foundation walls can sustain damage or collapse due to hydrostatic loads, NFIP regulations require that enclosure walls contain openings that allow the automatic entry and exit of floodwater. These openings allow floodwater to reach equal levels on each side of the wall, thereby lessening the probability of damage caused by a difference in hydrostatic loads on opposite sides of the wall.

Using Flood Velocity Data for Building Design

The following best practices are recommended for structures in riverine SFHAs.

1. All new construction in SFHAs should be elevated to the BFE plus freeboard.
2. All new construction, substantial improvements, and repair of substantially damaged structures in the SFHA should follow flood damage-resistant criteria and be elevated above the BFE as specified by ASCE 24, *Flood Resistant Design and Construction* (dwellings have 1 foot of freeboard and critical facilities have 2 to 3 feet of freeboard). ASCE 24 design and elevation requirements apply to utilities and attendant equipment as well. Property owners and developers should weigh the potential savings from damages avoided against the upfront cost of elevating a few feet higher. The potential for lower flood insurance rates as a result of lower flood risk should also be taken into account.
3. The importance of continuous load paths to foundations should be emphasized because this is important in properly securing existing buildings that are being elevated on new foundations.
4. Basements in the SFHA should be removed if a house is substantially damaged by flooding and the community is not approved for basement exceptions under the NFIP. Consideration should be given to filling in the basement areas when rebuilding and reinforcing foundation walls during repairs. Basements located within the floodway should be partially filled up to the outside grade and vents added for the remaining portions of the basement walls that extend above the outside grade. Basements in houses located outside the SFHA should also be considered for removal if there is a potential for flooding.
5. When repairing a non-substantially damaged building in the SFHA and not filling the basement as discussed above, all basement walls should be evaluated to determine if they have adequate reinforcement. Specifically, foundation walls constructed of unreinforced concrete masonry units should be reinforced during repair. Other modifications like replacing unreinforced basement slabs can make a foundation system more resistant to flooding and should be considered. The owner should consult with a qualified structural engineer in this regard. Consideration should also be given to permanently relocating utilities to a higher floor of the building, above expected flood levels, along with any vulnerable contents that cannot be evacuated easily and quickly.
6. Communities should consider requiring open foundations for buildings that are constructed in potential high-velocity flow areas, such as those along river bends and immediately adjacent to the floodway. Open foundations include piles, posts, piers, or columns with the building's first floor elevated above the BFE. The pile, post, pier, or column embedment depth must be designed to account for the maximum potential erosion and scour depths as determined by a design professional familiar with site-specific building design issues, including flooding. FEMA P-85, *Protecting Manufactured Homes from Floods and Other Hazards: A Multi-Hazard Foundation and Installation Guide* (2009) recommends that all manufactured home foundations in

riverine and coastal floodplain areas use piles when velocities of 5 feet per second are expected.

7. Communities need to verify that openings in foundation walls are properly designed and installed to allow floodwater to reach equilibrium on both sides and reduce the possibility of damage caused by hydrostatic loads.
8. When elevating an existing structure, it is critical to ensure it is properly secured to the new foundation. Proper connections between the elevated structure and the new foundation should be required for all new construction and substantial improvements, in accordance with Chapter 4 of the International Residential Code.

5.3 DAMAGE IN COASTAL SFHAS

Single-family and other light-frame buildings are generally incapable of resisting coastal flood loads, and therefore are designed to avoid those flood loads through elevation above the design flood level (including wave effects). In addition, in coastal areas, foundations must be designed to resist wave forces, wave-induced erosion and localized scour, and flood-borne debris, all of which can threaten the stability of the foundation and the building (Figure 5-2). Thus, the foundation type makes a significant difference in the ability of the structure to resist a variety of flood conditions and flood loads.



Figure 5-2: Wave and surge damage to load-bearing walls atop stem wall foundation approximately 0.5 mile from shoreline

Proper adherence to coastal design criteria is paramount for structure performance during coastal hazard events. Based on local conditions, damage to elevated homes that are properly designed and constructed is generally much less than that for poorly designed or built coastal structures, at least until the waves reach above the elevated floor system, at which point the damage can increase dramatically with increasing water level and wave height. Performance of residential building foundations for coastal and near-coastal hazards depends primarily on the residence

having adequate elevation, proper construction, proper foundation, and depth. If any of these criteria are inadequate, performance under hazard conditions can suffer. Foundation depth is extremely critical for resisting scour and erosion.

Buildings can be constructed to survive flood levels by elevating the lowest floor above the BFE, choosing a foundation that is more resistant to flood forces and erosion, and using flood damage-resistant materials above the BFE. Using these measures will make a building more “storm-resilient,” reduce future flood damage, allow easier repairs, and reduce flood insurance premiums. See Figure 5-2 for an example of damage to a house that was not elevated properly.

Foundations in coastal areas must meet the following requirements. Failure to meet any of these requirements can result in building damage or collapse:

- Be elevated above the surge and wave crest level
- Remain intact and functional despite scour and erosion effects
- Provide a continuous load path from the elevated building to the ground, and resist all vertical and lateral loads transferred from the elevated building to the foundation
- Resist flood loads, including storm surge, wave, and flood-borne debris impacts acting on the foundation and any below-flood level obstructions that do not break away

Open foundations on piles are the best way to protect against coastal flood damage. However, even this type of foundation is subject to embedment failure or pile bending.

- **Embedment Failures.** These failures occur when a foundation is not deep enough in the ground to resist wind and flood loads pushing on the structure; a leaning foundation or over-turned building can result. Scour and erosion often exacerbate this mode of failure by reducing embedment (Figure 5-3).
- **Pile and Column Bending Failure.** Pile and column breakage occur where the strength of the piles or columns are inadequate to resist the bending moments or shear forces caused by the flood and wind loads acting on the structure. Scour and erosion contribute to this mode of failure by increasing the unbraced pile/column length and by increasing the bending moments in the pile/column.



Figure 5-3: House on verge of collapse due to shallow embedment of timber pile foundation

The methods used to secure an elevated building to the top of the foundation can also affect the overall foundation strength. Connections at the tops of the piles or columns that do not provide fixity (i.e., resistance to rotation) allow greater stresses to develop in the piles or columns than would develop with connections that rigidly tie the structural elements together.

In buildings where timber construction is used for the foundation, and the tops of the piles or columns are connected to elevated buildings with bolted connections, the connections provide limited fixity; weakness in this type of connection can be overcome in some instances through the use of larger piles or columns and other design details that help to stiffen the foundation. It should be noted that piles that are over-notched (i.e., more than 50 percent of their cross-sectional area) can also contribute to connection failures. This can occur when piles are improperly spaced or misaligned during installation.

Residential building performance in coastal areas can also depend on the capability of the building foundation to accommodate erosion and the loss of soil support. The lowering of the ground is often caused by high winds, storm surge, large waves, and debris propelled by wind or water, which further magnify adverse effects of soil loss. For foundation design purposes, it is important to distinguish the nature and extent of soil loss expected around a building because these can affect the stillwater flood depth and the magnitude of the flood conditions at the site. Soil loss can be caused by erosion or scour.

- **Erosion.** Erosion is a lowering of the ground surface over a large area, usually brought on by a coastal storm or long-term shoreline recession. Erosion increases the unbraced length of vertical foundation elements and increases the stillwater depth at the building, allowing larger waves to reach the foundation.
- **Scour.** Scour is a localized loss of soil immediately around an object or obstruction such as building foundation elements. Scour also increases the unbraced length of vertical foundation elements, but does not act to increase the stillwater flood depth across which

waves propagate (thus, scour can be ignored for wave height calculation purposes).

Walls, columns, pilings, pile caps, footings, slabs, and other objects found under a coastal building can contribute to localized scour.

Deep pile foundations are generally the most effective choice on barrier islands and open bay shorelines, where waves, high velocity flow, and storm-induced erosion and scour are anticipated, provided piles are sufficiently embedded and the top of the pile foundation is at or above the wave elevation. The slender cross-section of piles minimizes the wave force transferred to the elevated building unless the wave crest reaches the floor beams and joists. Piles can be made of wood, concrete, or steel. Piles consist of a continuous structural unit along the embedded and exposed length, which, unlike piers, do not contain splices or joints. Splices and joints can become failure points for piers in areas subject to high flood levels, wave effects, and large floating debris loads.

The following best practices are recommended for new and retrofit construction in coastal SFHAs:

1. It is strongly recommended that buildings be constructed to survive flood levels that exceed the base flood design conditions. Flood conditions can exceed those shown on the FIRM for a variety of reasons, but the most common include storms more severe than the 1-percent-annual-chance flood and outdated flood maps; maps can become outdated because of changed site conditions, the availability of better topographic data, or improved modeling procedures. For these reasons, designing for flood conditions more severe than the base flood is recommended. These designs could involve additional freeboard (per ASCE 24) or the greater of the 500-year flood level and the flood of record.
2. Communities should consider enforcing the ASCE 24-05 Zone A design and construction standards in the areas between the effective SFHA landward limit and a ground elevation equal to the adjacent Zone A. Even when buildings are elevated and constructed to meet minimum requirements, they are still vulnerable to flood damage when flood levels exceed the BFE.
3. New coastal construction should rely on deeply embedded piles and avoid shallow or closed foundations such as slabs, stem walls, crawlspaces, or even piers.
4. The bottom frame members of all new and replacement manufactured homes should be elevated to or above the BFE using wind- and flood-resistant foundations. Installation of new manufactured homes should follow the guidance provided in FEMA P-85, *Protecting Manufactured Homes from Floods and other Hazards: A Multi-Hazard Foundation and Installation Guide*, Second Edition (2009).
5. State and local governments should encourage siting away from eroding shorelines, employ coastal restoration where justified to mitigate erosion effects, and acquire erosion-damaged properties and prohibit reconstruction on those lots.

Using Flood Velocity Data for Building Design

6. Enhanced-code houses involve construction that exceeds the minimum building code requirements. As such, they should be designed to resist erosion, scour, and flood loads associated with flood levels above the BFE, and not just elevated above the BFE on otherwise minimally flood-compliant foundations. Entities certifying enhanced-code houses should review foundation calculations before granting enhanced-code status.
7. In areas where the BFEs are approximately 6 feet above exterior grade (including erosion effects) homes supported by pile foundations can be elevated 3 to 5 feet above the BFE with only moderate cost increases. In areas where homes need to be elevated more than 10 feet above grade, pile foundations are still a viable method of providing reasonably priced freeboard if longer piles are readily available in the area and the owner decides that he or she still wants to build on that site. The ability to deeply embed the pile makes it the most reliable support in areas subject to the deeper erosion commonly found on barrier island and ocean dune exposures.
8. Grade beams used in open foundation designs allow the foundation to respond as an integral system while avoiding footings that can rotate and fail with relatively low lateral loads. Properly designed foundations using grade beams can withstand much higher lateral loads since the entire grade beam matrix must rotate as an entity. Although grade beam foundations can resist much greater lateral loads than foundations using discrete footings, they are just as vulnerable to erosion- and scour-induced failures as foundations with discrete footings. Grade beams can also create an obstruction if attached to the piles and the piles are subject to excessive erosion and scour.

For additional building performance information, guidance and best practices, refer to the Mitigation Assessment Team reports and recovery advisories for the Midwest floods in Iowa and Wisconsin (2008) for riverine floodplains and the Hurricane Katrina (2006) and Hurricane Ike (2009) Mitigation Assessment Team reports for coastal floodplains.

SECTION SIX SUMMARY

This report highlights the recommended procedures for developing flood depth and velocity grid data based on the standard modeling used by the FEMA Risk MAP program. The report includes background information on the development and use of the flood depth and velocity grid data for discussions between FEMA Regional Building Science staff and local and State officials during the Risk MAP implementation process. The report also summarizes FEMA's Building Science Branch and the Risk MAP program and includes detailed descriptions of depth and velocity grid development. Lastly, the report reviews the pros and cons of the standard Risk MAP 1D and 2D hydraulic models.

The four datasets developed as part of the non-regulatory Risk MAP products include the CSLF, Flood Depth and Analysis Grids, Flood Risk Assessment, and AOMI. Combined, these datasets communicate a variety of flood risk information, ranging from the depth of flooding, to the velocity of floodwaters, to the probability of being flooded in any given year in a 30-year period (duration of a typical home mortgage).

Three primary grid datasets are related to velocity issues in the Risk MAP non-regulatory products:

- **Depth Grid** presents flood depth values across the entire mapped floodplain to enable an understanding of true flood risk. This dataset is derived by subtracting the terrain elevation from the water surface elevation for respective recurrence interval events.
- **Riverine Velocity Grid** presents the average flood velocity for a specific location in the mapped floodplain for a given percent-annual-chance flood frequency profile.
- **Coastal Velocity Grid** is similar to the riverine velocity grid and presents data for floodplain areas affected by coastal flood hazards and storm surge.

FEMA specifies the minimum FRD standards in the FEMA *Guidelines and Specifications for Flood Hazard Mapping Partners*, draft Appendices N and O (January 2012a and b). Although these documents provide the minimum requirements for these grids, the additional procedures recommended in this report will ensure the grids are more useful for building science professionals. As part of enhanced data development, a community may decide to add supplemental tables and spatial databases to the FRD.

Buildings in flood hazard areas may be subjected to a variety of flood-induced forces. During inundation by standing or low-velocity floodwaters, a building must resist primarily hydrostatic pressures from saturated soils and floodwaters. For inundation by high-velocity riverine and coastal floodwaters, a building must also be able to resist hydrodynamic forces and flood-borne debris impact loads. High-velocity floodwaters are found in floodways along steeper-gradient rivers, sheet-flow down slopes, and coastal areas with storm surge and waves.

For building science purposes, these supplemental data could include anything from more detailed H&H analysis data to building-specific construction practices databases. By being non-regulatory and allowing for local enhancements, the FRD has the flexibility to archive more than

the standard regulatory datasets and products. Proper foundation design enhances overall building stability and performance under riverine and coastal flood conditions. The Risk MAP non-regulatory products provide site-specific velocity data that can be used to meet local building code or enhanced foundation design requirements and to produce more efficient designs that increase resilience for new or retrofit construction. Some examples of residential failures and best practices and for siting, design, and construction in riverine and coastal SFHAs are included in the document.

Once areas of highest flood risk are identified according to flood frequency and depths, Flood Depth and Analysis Grids can be used to show additional hazard information. Communities can then enlist the support of elected officials for mitigation projects that reduce flood risk. Building officials can use these datasets to show structure owners, developers, contractors, and others how the structure elevation requirements for specific sites may change over time. These data can also be used to show the flood risk elements for each grid cell, such as the change in water surface elevations between the old and new flood study and areas of high floodwater velocity. Probability grids communicate the chance of being flooded in any given year or in a 30-year period and the increased flood risks associated with the statistical confidence limits of the hydraulic models.

Velocity grids can be developed from 1D, 2D, and 3D hydraulic models. For a 1D model, such as HEC-RAS, velocities can be calculated in several ways and exported from the model. These range from a single mean velocity value for only lettered cross-sections to quasi-2D methods that use hundreds of velocity values for every modeled or interpolated cross-section. Velocity grid developers may need to use a combination of automated and manual approaches to create velocity grids that are consistent with other regulatory and non-regulatory datasets. All procedures used should be well documented and explain the modeling assumptions and known use limitations. Neither 1D nor quasi-2D models show direction of flow.

Two-dimensional models use more complex methods than 1D models for velocity, and can show both magnitude and direction. Often 2D models are used for unsteady flow situations, such as tidal areas, where velocity magnitude and direction can vary greatly. Velocity grid developers need to decide if one or multiple velocity grids are required to show velocity conditions. GIS methods used to develop velocity grids also need to address non-raster calculation elements. Documentation of methods used for 2D hydraulic analysis and velocity grid development is important for data users. This is especially true for indirect 2D methods, where velocity grids are developed outside of a specific hydraulic model based on another grid, such as the depth grid. Documentation of methods and limitation is also important for hybrid 2D models, in which combinations of 1D and 2D models are used.

Some hydraulic models now have the capability for 3D approximation of flow. Conceptually, 3D models are more complex than 2D models. There are some specific data needs for 3D models, but they can use many of the same input data sets as 2D models. Because velocity calculations in 3D models have both horizontal and vertical components, the development of a velocity raster (with only two dimensions) will require decisions on how to simplify the data. Because there are

no 3D models on the current FEMA list of nationally acceptable hydraulics models, very few studies will likely use this level of modeling complexity.

Table 6-1 provides a simple comparison of the 1D and 2D models.

Table 6-1: Comparison of 1D and 2D Models

	1D Model	2D Model
Flood Modeling Types	Riverine modeling only	Riverine and coastal modeling
Commonly Used Model	HEC-RAS is the most common model	No dominant or preferred model. Modeling choice can vary based on channel slope, presence of alluvial fans, or coastal flooding conditions.
Flow Assumptions	Assumes that water flow is in one direction only	Assumes water flow is multi-directional
Velocity Grid Development	<ul style="list-style-type: none"> • Default model output data typically provide only floodway average velocity values • More detailed velocity data breakdowns are available (overbanks and channel) • Distributed flow methods can be used to produce “quasi-2D” results, which often use interpolation and geographic information system (GIS) techniques 	<ul style="list-style-type: none"> • Some models support a direct modeling approach, where velocity data are directly supported and can easily be exported from the model • Other models require indirect modeling approaches, where 2D outputs other than velocity are exported from a model and then the velocity grid is derived from these other data • Projects may use hybrid modeling, usually linking 1D and 2D models, where velocity grid data are developed from several model types • Projects may also use 3D modeling approaches, which can be thought of as complex 2D model with additional 3D input data requirements

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